

$\alpha$  –Browder's  
Theorem For  
Conditionally Totally  
Posinormal Operators

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**ABSTRACT**

In this paper we present results on Browder's theorem for conditionally totally posinormal operators using established results on kato type operators and polaroid operators.

**Keywords:** Conditionally totally posinormal operators, Polaroid property, SVEP, Kato type operators

**1. INTRODUCTION**

Throughout this paper,  $A \in B(H)$  denotes a bounded linear operator acting on an infinite dimensional Hilbert space  $H$  into itself. We consider a conditionally totally posinormal operator  $A$  such that  $A - \lambda I \in Q_i$ , i.e.  $\sigma(A - \lambda I)|_M = 0 \implies (A - \lambda I)|_M = 0$  and  $iso\sigma(A) \cap \sigma_k(A) = \emptyset$  following lemma 3.2[1], this is a necessary and sufficient condition that ensures every isolated point of the spectrum of  $A$  is a pole of the resolvent of  $A$ . As a result the operator  $A$  is polaroid, in particular  $H \ominus (A - \lambda I)^n = N(A - \lambda I)^n, n = n(\lambda) \in \mathbb{N}$  for all  $\lambda \in iso(\sigma(A))$  (Theorem 2.9, [6]). The authors in [1], using the SVEP and Kato spectrum for operators on  $H$  present conditions under which posinormal operators satisfy Weyl's theorem. In particular it has been shown that; If  $A \in (CTP)$  is such that  $iso\sigma(A) \cap \sigma_k(A) = \emptyset$  then  $f(A)$  satisfies Weyl's theorem for every  $f \in \mathcal{H}(\sigma(A))$  (set of analytic functions which are defined on an open neighborhood  $U$  of  $\sigma(A)$ ) and  $A^*$  satisfies  $a$ -Weyl's theorem.

**2. NOTATION AND TERMINOLOGY**

Throughout this paper, we shall use the following notations as used in operator theory for Hilbert space operators; let  $A^*; R(A); N(A); \rho(A); \sigma(A); \sigma_p(A); \sigma_{ap}(A), \sigma_k(A)$  denote respectively adjoint, Range, kernel, resolvent set, spectrum, point spectrum and approximate point spectrum.

**Definition 2.1[2]**  $A \in B(H)$  is conditionally totally posinormal abbreviated  $CTP$  if to each  $\lambda \in \mathbb{C}$  there corresponds a positive operator  $P_\lambda$  such that  $A \in B(H): |(A - \lambda I)^*|^2 = |P_\lambda^{\frac{1}{2}}(A - \lambda I)|^2$  for all  $\lambda \in \mathbb{C}$ .

**Definition 2.2[3]**  $A \in B(H)$  is said to be semi-regular if  $R(A)$  is closed and  $N(A) \subseteq R(A^n)$  for every  $n \in \mathbb{N}$  and  $A$  is Kato type at a point  $\lambda \in \mathbb{C}$  if there exists a pair of  $A$ -invariant closed subspaces  $(M; N)$  such that  $H = M \oplus N$ , the restriction  $(A - \lambda I)|_M$  is nilpotent and  $(A - \lambda I)|_N$  is semi-regular, i.e.  $A$  admits the generalized Kato decomposition at  $\lambda \in \mathbb{C}$ .

The Kato spectrum of  $A$  is defined by;  $\sigma_k(A) = \{\lambda \in \mathbb{C}: A - \lambda I \text{ is not kato type}\}$

**Definition 2.4[9]**  $A \in B(H)$  is said to be Fredholm if it has a finite index. The essential spectrum (or the Fredholm spectrum) is defined by;

$$\sigma_e(A) = \{\lambda \in \mathbb{C}: \lambda I - A \text{ is not Fredholm}\}.$$

Let  $\mathcal{W}(H)$  denote the class of Fredholm operators of index zero known as Weyl operators and the Weyl spectrum denoted by  $\omega(A) = \{\lambda \in \mathbb{C} : \lambda I - A \text{ is not Weyl}\}$ .

**Remark 2.5**

Weyl's theorem holds for  $A$  if  $\sigma(A) \setminus \omega(A) = \pi_{\infty}(A)$  where  $\pi_{\infty}(A) = \{\lambda \in \text{iso}\sigma(A) : 0 < \alpha(A - \lambda I) < \infty\}$  is the set of isolated points of  $\sigma(A)$  which are eigenvalues of finite multiplicity. We say that  $\alpha$ -Weyl's theorem holds for  $A \in B(H)$  if  $\sigma_{ap}(A) \setminus \omega(A) = \pi_{\infty}^{\alpha}(A)$ , where  $\pi_{\infty}^{\alpha}(A)$  denotes the set of isolated points of  $\sigma_{ap}(A)$  which are eigenvalues of finite multiplicity. [11]

It has been shown in [13] that;  $\alpha$ -Weyl's theorem  $\implies$  Weyl's theorem

**Definition 2.6** An operator  $A$  is Browder if it is Fredholm and has finite both ascent and descent. The set  $\sigma_b(A) = \{\lambda \in \mathbb{C} : \lambda I - A \text{ is not Browder}\}$  is the Browder spectrum of  $A$ .

Evidently  $\sigma_e(A) \subseteq \omega(A) \subseteq \sigma_b(A) \subseteq \text{acc}\sigma(A) \cup \sigma_e(A) \subseteq \sigma(A)$ .

**Remark 2.7**

Browder's theorem holds if  $\sigma(A) \setminus \omega(A) = p_{\infty}(A)$ , where  $p_{\infty}(A) = \sigma(A) \setminus \sigma_b(A)$ .  $\alpha$ -Browder's theorem holds for  $A \in B(H)$  if  $\sigma_{ap}(A) \setminus \sigma_{ab}(A) = \pi^{\alpha}(A)$ , where  $\pi^{\alpha}(A)$  is the set of all left poles of finite rank. [15]

Several authors have shown that for any  $A \in B(H)$  the following implications hold;

$\alpha$ -Weyl's theorem  $\implies$  Weyl's theorem  $\implies$  Browder's theorem [4]

$\alpha$ -Weyl's theorem  $\implies$   $\alpha$ -Browder's theorem  $\implies$  Browder's theorem [4]

**Definition 2.8** An operator  $A \in B(H)$  have Single value extension property (SVEP) if for any analytic function  $f : D \rightarrow H$  with  $(\lambda I - A)f(\lambda) \equiv 0$ , it results  $f(\lambda) \equiv 0$ .

**Definition 2.9[4]**

The quasi-nilpotent part of  $\lambda I - A$  is defined by;  $H_0(\lambda I - A) := \{x \in H : \lim_{n \rightarrow \infty} \|(\lambda I - A)^n x\|^{\frac{1}{n}} = 0\}$  while the analytic core is defined as the set  $K(\lambda I - A)$  for all  $a \in H$  such that  $\exists c > 0$  and a sequence  $(a_n)$  in  $H$  for which  $(\lambda I - A)a_1 = a$ ,  $(\lambda I - A)a_{n+1} = a_n$  and  $\|a_n\| \leq c^n \|a\|$  for all  $n \in \mathbb{N}$ .

**3. MAIN RESULTS**

**Lemma 3.1. (Lemma 3.2, [3])**

Let  $A \in B(H)$  and let  $\lambda$  be an isolated point in  $\sigma(A)$ . Then the following properties are equivalent;

- i)  $\lambda$  is pole of  $A$

ii) There exists  $A$ -invariant subspaces  $M$  and  $N$  of  $H$  such that,

$(A - \lambda I) = (A - \lambda I)|_M \oplus (A - \lambda I)|_N$  on  $H = M \oplus N$ , where  $(A - \lambda I)|_M$  is bounded below and  $(A - \lambda I)|_N$  is nilpotent.

**Theorem 3.2**

If  $A \in (CTP)$  is such that  $iso\sigma(A) \cap \sigma_k(A) = \emptyset$  and  $(A - \lambda I)|_{H_0(A-\lambda I)} \in \mathcal{Q}$ , then  $A - \lambda I$  is Kato type.

Proof

Suppose  $A \in (CTP)$  and  $iso\sigma(A) \cap \sigma_k(A) = \emptyset$  then by lemma 3.1 every isolated point in  $\sigma(A)$  is a pole of  $A$  and  $H = H_0(A - \lambda I) \oplus K(A - \lambda I)$  thus  $A - \lambda I$  has the decomposition  $(A - \lambda I) = (A - \lambda I)|_{H_0(A-\lambda I)} \oplus (A - \lambda I)|_{K(A-\lambda I)}$  where  $(A - \lambda I)|_{H_0(A-\lambda I)}$  is bounded below and  $(A - \lambda I)|_{K(A-\lambda I)}$  is nilpotent, therefore by definition 2.2, it follows that  $A$  admits the generalized Kato decomposition property and hence is of Kato type.

**Lemma 3.3[5]**

For a bounded operator  $A \in B(H)$  Browder's theorem holds precisely when one of the following statements hold;

- i)  $H_0(A - \lambda I)$  is finite-dimensional for every  $\lambda \in \sigma(A) \setminus \omega(A)$
- ii)  $H_0(A - \lambda I)$  is closed for all  $\lambda \in \sigma(A) \setminus \omega(A)$
- iii)  $K(A - \lambda I)$  is finite dimensional  $\lambda \in \sigma(A) \setminus \omega(A)$

**Theorem 3.4.**

If  $A \in (CTP)$  is such that  $iso\sigma(A) \cap \sigma_k(A) = \emptyset$  and  $((A - \lambda I)|_{H_0(A-\lambda I)}) \in \mathcal{Q}$ , then Browder's theorem holds for  $A$ .

Proof

Following from theorem 3.2,  $A$  admits the generalized Kato decomposition property. Let  $\lambda \in \sigma(A) \setminus \omega(A)$  then by Kato decomposition  $A^*$  has SVEP at  $\lambda$  and by corollary 2.45[4],  $\sigma_{ap}(A) = \sigma(A)$  and  $H_0(A - \lambda I)$  is finite dimensional by lemma 3.3. It follows from theorem 2.4.3[4] that  $\lambda \in p_{\infty}(A)$ .

Conversely suppose that  $\lambda \in p_{\infty}(A)$ , then since  $A^*$  has SVEP at  $\lambda$  then following corollary 2.10[4],  $p_{\infty}(A) = \pi_{\infty}(A)$  and since Weyl's theorem holds for  $A$ ,  $\lambda \in \sigma(A) \setminus \omega(A)$ .

The next result is a necessary and sufficient condition for  $\alpha$ -Browder's theorem to hold for a conditionally totally posinormal operator established using the relationship between the SVEP and the spectral mapping theorem for Hilbert space operators.

**Theorem 3.5.** If  $A \in (CTP)$  is such that  $\sigma(A) \cap \sigma_k(A) = \emptyset$ ; and  $((A - \lambda I)|_{H_\infty(A-\lambda I)}) \in \mathcal{Q}$ , then  $f(A)$  satisfies  $a$ -Browder's theorem for every  $f \in \mathcal{H}(\sigma(A))$ .

Proof

$a$  –Browder’s theorem holds if  $\sigma_{ab}(f(A)) = \omega_a(f(A))$ , since  $\sigma_{ab}(f(A)) \subseteq acc\sigma_{ap}(f(A))$ , it suffices to show that  $acc\sigma_{ap}(f(A)) \subseteq \omega_a(f(A))$ . Since  $A$  is Kato type at every  $\lambda \in \sigma_{ap}(A)$  it follows that  $A^*$  has SVEP at  $\lambda \in \sigma_{ap}(A)$  then by the duality theorem in theorem 2.40[4],  $f(A)$  has SVEP. Suppose that  $\lambda \notin \omega_a(f(A))$  then  $A - \lambda I$  is upper Fredholm and SVEP at  $A^*$  ensures that  $\sigma_{ap}(f(A))$  does not cluster at  $\lambda$  i.e.  $\lambda \notin acc\sigma_{ap}(f(A))$ . It has been shown in theorem 3.3 [1] that  $f(A)$  satisfies  $a$ -Weyl's theorem thus since  $A^*$  has SVEP, the spectral mapping theorem implies that  $\sigma_{ab}(f(A)) = \omega_a(f(A))$  it follows that  $a$ -Browder's theorem holds for  $f \in \mathcal{H}(\sigma(A))$ .

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